

ATTORNEY'S DOCKET NUMBER: 26440U

MAIL STOP PCT

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: 9 DECEMBER 2004 (9.12.2004)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NUMBER: 10/517343 Not Yet Assigned
INTERNATIONAL APPLICATION NUMBER: PCT/CH03/00338	INTERNATIONAL FILING DATE: 30 MAY 2003 (30.05.2003)	PRIORITY DATE CLAIMED: 24 JUNE 2002 (24.06.2002) CH
TITLE OF INVENTION: CONNECTOR-PLUG PART FOR AN OPTICAL PLUG-IN CONNECTION, METHOD FOR CONNECTING A CONNECTOR-PLUG PART TO THE END OF AN OPTICAL WAVEGUIDE CABLE AND DEVICE FOR CARRYING OUT SAID METHOD		
APPLICANT(S) FOR DO/EO/US: Silverio De MARCHI		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items(5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li><input checked="" type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</li> <li><input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</li> <li><input checked="" type="checkbox"/> Other items or information: TRANSMITTAL LETTER; TRANSMITTAL LETTER TO U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING FILING UNDER 35 U.S.C. 371; INTERNATIONAL PUBLICATION NUMBER: WO 2004/001471 A1 WITH ENGLISH TRANSLATION AND WITH INTERNATIONAL SEARCH REPORT (PCT/ISA/210 IN ENGLISH AND GERMAN; INTERNATIONAL PUBLICATION DATE: 31 DECEMBER 2003 (31.12.2003), WHICH CONSISTS OF 20 PAGES: 2 PAGES OF THE COVER SHEET WITH THE ENGLISH ABSTRACT, 10 PAGES OF TEXTUAL SPECIFICATION, 4 PAGES OF 17 CLAIMS, 4 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH ATTACHMENT A TO BE EXAMINED; EXECUTED INVENTOR'S DECLARATION AND POWER OF ATTORNEY; EXECUTED ASSIGNMENT WITH RECORDATION COVER SHEET; INFORMATION DISCLOSURE STATEMENT; PTO FORM-1449 WITH TWO (2) CITED PRIOR ART REFERENCES; POSTCARD FOR EARLY NOTIFICATION OF SERIAL NUMBER.</li> </ol>		

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U.S. APPLICATION NUMBER: (If known) Not Yet Assigned <div style="font-size: 2em; font-weight: bold; position: absolute; top: 10px; left: 10px;">10/517343</div>	INTERNATIONAL APPLICATION NUMBER: PCT/CH03/00338	DATE: 9 DECEMBER 2004 (9.12.2004)
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> (to include Basic National Stage Fee, National Stage Search Fee, and National Stage Examination Fee)  International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$750.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$790.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,110.00  International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) IPEA-U.S. ....\$ 100.00  <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	<u>CALCULATIONS</u>  \$ 1,000.00        \$ 1,000.00	<u>PTO USE ONLY</u>
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Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 0.00	
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CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE		
TOTAL	17-20 =	0	X \$ 50.00	\$	.00
INDEPENDENT	2- 3 =	0	X \$ 200.00	\$	.00
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$	0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	1,000 .00
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$	.00
<b>SUBTOTAL =</b>				\$	.00
Processing fee of \$130.00 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
<b>TOTAL NATIONAL FEE =</b>				\$	1,000.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00
<b>TOTAL FEES ENCLOSED =</b>				\$	1,040.00
				Amount to be:	
				refunded	\$
				charged	\$

U.S. APPLICATION NUMBER: (If known) Not Yet Assigned <b>10/517343</b>	INTERNATIONAL APPLICATION NUMBER:  PCT/CH03/00338	DATE: <u>9</u> DECEMBER 2004 ( <u>9</u> 12. 2004)
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a. ☒ Two checks in the amounts of \$ 1,000.00 and \$ 40.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account Number: 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.

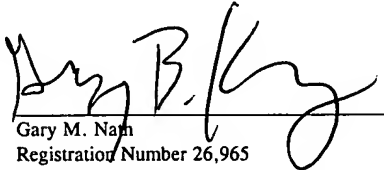
d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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Gary M. Nath  
Registration Number 26,965  
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Registration Number 45,273  
Customer Number 20529

10/517343

MAIL STOP PCT

Attorney Docket: 26440U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

De MARCHI

DT09 Rec'd PCT/PTO 09 DEC 2004

International Application Number: PCT/CH03/00338

Serial Number: NOT YET ASSIGNED

International Filing Date: 30 May 2003 (30.05.2003)

Filed: December 9, 2004

For: CONNECTOR-PLUG PART FOR AN OPTICAL PLUG-IN CONNECTION, METHOD  
FOR CONNECTING A CONNECTOR-PLUG PART TO THE END OF AN OPTICAL  
WAVEGUIDE CABLE AND DEVICE FOR CARRYING OUT SAID METHOD

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

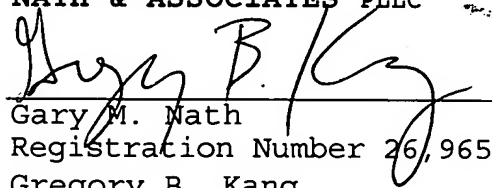
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark  
Office is the following:

- (1) Transmittal Letter;
- (2) Transmittal Letter to U.S. Designated/Elected Office  
(DO/EO/US) Concerning Filing under 35 U.S.C. 371;  
International Publication No: WO 03/103536 A2 with English  
Translation, with International Search Report (PCT/ISA/210) in  
English and German, International Publication Date: 31  
December 2003 (31.12.2003), which consists of 20 pages:  
2 Pages of the Cover Sheet with the English Abstract,  
10 Pages of Textual Specification,  
4 Pages of 17 Claims,  
4 Sheets of Drawings;
- (3) Preliminary Amendment with Attachment A to be Examined;
- (4) Executed Inventor's Declaration and Power of Attorney;
- (5) Executed Assignment with Recordation Cover Sheet;
- (6) Information Disclosure Statement;
- (7) PTO Form-1449 with Two (2) Cited Prior Art References;
- (8) Check No. 22229 \$ 1,000.00 for basic filing fee, search fee,  
and examination fee;
- (9) Check No. 22230 \$ 40.00 for recordation fee;
- (10) Postcard for early notification of serial number.

Respectfully submitted,  
NATH & ASSOCIATES PLLC

By:

  
Gary M. Nath  
Registration Number 26,965  
Gregory B. Kang  
Registration Number 45,273  
Customer Number 20529

Date: December 9, 2004

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